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## 3 SCIENTIFIC REALISM: OLD AND NEW PROBLEMS

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5

6 ABSTRACT. Scientific realism is a doctrine that was both in and out of fashion  
7 several times during the twentieth century. I begin by noting three presuppositions of  
8 a succinct characterization of scientific realism offered initially by the foremost critic  
9 in the latter part of the century, Bas van Fraassen. The first presupposition is that  
10 there is a fundamental distinction to be made between what is “empirical” and what  
11 is “theoretical”. The second presupposition is that a genuine scientific realism is  
12 committed to their being “a literally true story of what the world is like”. The third  
13 presupposition is that there are methods for justifying a belief in the empirical  
14 adequacy of a theory which do not also suffice to justify beliefs in its literal truth.  
15 Each of these presuppositions raises a number of problems, some of which are quite  
16 old and others rather newer. In each case, I briefly review some of the old problems  
17 and then elaborate the newer problems.

18

## 1. SCIENTIFIC REALISM: WHAT IS IT?

20 Scientific realism is a doctrine that was both in and out of fashion  
21 several times during the twentieth century. At the end of the century  
22 it seemed once more definitely back in fashion with the publication of  
23 several book length defenses of some form of scientific realism  
24 (Leplin 1997; Niiniluoto 1999; Psillos 1999). I will not attempt to  
25 review these latest offerings here. Rather, I will begin with a pair of  
26 characterizations offered initially by the foremost critic of scientific  
27 realism in the latter part of the century, Bas van Fraassen. “The  
28 correct statement of scientific realism,” he writes, is:

29 Science aims to give us, in its theories, a literally true story of what the world is like;  
30 and acceptance of a scientific theory involves the belief that it is true. (1980, 8)

31 His own alternative to scientific realism, constructive empiricism, is  
32 similarly characterized as follows:

33 Science aims to give us theories which are empirically adequate; and acceptance of a  
34 theory involves as belief only that it is empirically adequate. (1980, 12)

35 These beautifully brief statements contain a number of presupposi-  
36 tions, three of which I will examine in this essay.



37 The first presupposition I will consider is that there is a funda-  
38 mental distinction to be made between what is “empirical” and what  
39 is “theoretical.” The second presupposition is that a genuine scientific  
40 realism is committed to their being “a literally true story of what the  
41 world is like,” rather than, say, only idealizations and approxima-  
42 tions. Finally, the third presupposition is that there are methods for  
43 justifying a belief in the empirical adequacy of a theory which do not  
44 also suffice to justify beliefs in its truth (or maybe just approximate  
45 truth). Each of these presuppositions raises a number of problems,  
46 some of which are quite old and others rather newer. In each case I  
47 will briefly review the old problems and then spend rather more time  
48 elaborating the new problems.

## 2. THE EMPIRICAL AND THE THEORETICAL

50 A prototype for debates about scientific realism in the twentieth  
51 century occurred at the end of the nineteenth century with questions  
52 about the reality of atoms and molecules (Nye 1972). Imagine a glass  
53 tube open at both ends with one end stuck down into a small beaker  
54 containing mercury. Neglecting minor surface effects, the level of  
55 mercury in the tube will be observed to be about the same as that in  
56 the beaker. That is a bit of *empirical* data. The disputed *theoretical*  
57 explanation is that the density of air molecules is the same inside and  
58 outside the tube, so the pressure on the surface of the mercury due to  
59 impacts from air molecules is the same inside and out. There is,  
60 therefore, no force difference to make the mercury rise or fall in the  
61 glass tube. Now suppose a vacuum pump is hooked up to the open  
62 end of the glass tube. As the pump works, the mercury is observed to  
63 rise in the glass tube. Again empirical data. The theoretical expla-  
64 nation is that removing molecules of air from inside the tube leaves  
65 fewer molecules to impact the surface of the mercury inside the tube,  
66 so the greater density of air molecules hitting the surface outside the  
67 tube forces mercury up the tube. Of course, this experiment is hardly  
68 decisively in favor of an atomic theory of gasses. The same obser-  
69 vations would be expected if air were a continuous fluid.

70 Part of the background to nineteenth century debates about the  
71 reality of atoms was the classical empiricism of Locke, Berkeley and  
72 Hume, who assumed that experience of one’s own sensations is the  
73 foundation of all empirical knowledge. The most famous of the  
74 skeptics about atoms, Ernest Mach, held this phenomenalist form  
75 of empiricism. From this perspective, it is not quite correct to say that



76 the mercury rising in the glass tub is empirical data. Rather, it is the  
77 *experience* of seeing what one takes to be mercury rising in the tube  
78 that is the real empirical data. Concluding that the mercury is rising is  
79 as much of an inference as inferring the motions of molecules, though  
80 maybe more reliable.

81 In retrospect, positing a realm of sensations, later “sense data,”  
82 provided an unambiguous distinction between what is “observed”  
83 and everything else. There is a clear qualitative difference between  
84 sensations and things out in the world. The existence and quality of  
85 sense data were taken to be of a uniform and indubitable nature. For  
86 sense data it was indeed true that to be is to be perceived. Not so for  
87 atoms, or even for glass tubes. The existence of sense data was one of  
88 the (very) old problems connected with debates over scientific  
89 realism.

90 When, in the 1930s, the European scientific philosophers in Vienna  
91 and Berlin gave up phenomenalism in favor of physicalism, they at-  
92 tempted to retain the uniformity in the observational base of science  
93 that phenomenalism had provided. This attempt was eventually  
94 codified by Carnap (1956) in linguistic terms as a distinction between  
95 “observation terms” and “Theoretical terms.” But this distinction  
96 proved difficult to maintain except in the form of conventional stip-  
97 ulations of what gets put onto a list of “observation terms.” In  
98 practice, as Grover Maxwell (1962), among many others, pointed out  
99 in the 1960s, the desired uniformity simply does not exist. The  
100 predicate “is silver” is observational if any term is, but yet there are,  
101 for example, drops of mercury so small as to be invisible to the  
102 human eye, but which obviously look silver when viewed under  
103 moderate optical magnification. Again, one can weigh a beaker full of  
104 mercury, so here “weight” is an observational term. But molecules  
105 also have weight, which is why, on the atomic theory, the pressure of  
106 air is greater at sea level than in the mountains. Here, then, is another  
107 (more recent) old problem of scientific realism.

108 Van Fraassen takes a different approach for distinguishing the  
109 empirical from the theoretical. For him, the empirical is whatever can  
110 be reliably distinguished by an unaided human observer. Thus,  
111 determining what is empirical is itself an empirical matter. And this  
112 criterion for what is observable (thus, empirical) is, like the phe-  
113 nomenalistic and linguistic approaches, is intended to be uniform  
114 across time and scientific fields.

115 This approach, however, raises a number of new problems. One  
116 set of problems arises because humans are quite variable in their  
117 ability to observe the world around them. Experienced experts, such

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118 as sports umpires and judges of show dogs, can reliably spot things  
 119 that pass by an untutored observer. Worse yet, a person's beliefs,  
 120 including theoretical beliefs, have been shown to influence what  
 121 people report to have observed. To some extent, as often been  
 122 demonstrated in controlled experiments, humans report observing  
 123 what they had expected to observe even when the reported object or  
 124 situation simply did not exist (Bruner et. al. 1956).

125 A more serious problem, I think, is that this radically anthropo-  
 126 morphic approach does not accord well with widespread scientific  
 127 practice. In our simple pneumatic experiment, the scientific report of  
 128 what was observed would typically be that the air pressure in the tube  
 129 above the mercury was decreased by pumping out air. As noted  
 130 above, this report is neutral between air being corpuscular and its  
 131 being a continuous fluid. But nobody can literally observe the air  
 132 pressure in the tube. All that anybody can see is that the mercury rises  
 133 when the pump is turned on. That this is due to decreased air pressure  
 134 is already a theoretical inference. In this relatively unproblematic  
 135 sense, most scientific observation is theory laden.

136 Van Fraassen insists that, since science is a human enterprise, a  
 137 good empiricist, who thinks empirical adequacy is the only criterion  
 138 for theoretical belief, must insist that that the empirical consists of  
 139 human experience and only human experience.<sup>1</sup> The purpose of  
 140 instruments is, therefore, merely to produce results that humans can  
 141 observe without instruments. So empirical adequacy means adequacy  
 142 to unaided human experience. Here we have a new problem for  
 143 realism. How can one reconcile the obvious fact that doing science is  
 144 a human enterprise with the widespread scientific practice of taking as  
 145 evidence results that go far beyond the observational capacities of  
 146 unaided human observers? Van Fraassen has an empiricist answer to  
 147 this question based on a strong distinction between "acceptance" and  
 148 "belief." The *acceptance* of theory laden observation reports, and,  
 149 indeed, of broader theories, he claims, may be justified by many  
 150 pragmatic considerations, among which he includes virtues such as  
 151 simplicity and explanatory power. But *belief* in the truth of a theory  
 152 could only be based on empirical adequacy narrowly conceived in  
 153 terms of unaided human experience. In fact, as noted above, for van  
 154 Fraassen, legitimate belief only extends to overall empirical  
 155 adequacy.

156 I will return the distinction between belief and acceptance when  
 157 considering the third of the three presuppositions noted at the  
 158 beginning of this paper. Here I want to suggest a more realistically  
 159 inspired solution to the problem at hand based on the notion of



160 *distributed cognition* being developed within the cognitive sciences. As  
161 I wish to understand it, the basic notion is that of a cognitive system.  
162 A human is a cognitive system, but so is a dog. But, more impor-  
163 tantly, a human together with an experimental setup, such as the  
164 mercury and air-pump arrangement, is also a cognitive system. The  
165 cognition is distributed throughout the whole system. It is the system,  
166 not just the human, that produces the cognitive output. Thus, we do  
167 not have to think that all the cognition is going on in the person and  
168 that the instruments are producing only input to the human system.  
169 Maybe a person alone cannot *observe* air pressure, but a cognitive  
170 system consisting of a person and the relevant equipment can *detect* a  
171 decrease in air pressure.

172 The distinction between “observe” and “detect” is fundamental. In  
173 scientific practice, it is what can be *detected* that counts as the  
174 empirical evidence for theoretical claims. What humans by themselves  
175 can *observe* is not fundamental. But the humans are nevertheless  
176 fundamental. By itself the mercury, glass tube, and pump set-up  
177 cannot be said to *detect* anything. Even less does it *know* anything. It  
178 just does what it does. It is the human component of the cognitive  
179 system that provides content to the interpretation that a decrease in  
180 air pressure in the glass tube has been detected.

181 An immediate implication of the move from what unaided humans  
182 can observe to what cognitive systems incorporating humans can  
183 detect is that the uniformity of the empirical basis of science disap-  
184 pears. Different experimental cognitive systems detect different sorts  
185 of things. Which cognitive systems are invoked depends on what one  
186 wants to detect, which in turn may depend on what theories one is  
187 trying empirically to test. This does not erase all distinctions between  
188 evidence and theory. It only eliminates a uniform distinction applying  
189 across different times and sciences. In any specific case in which a  
190 theory is being tested empirically, there may be a clear distinction  
191 between what is being detected (the evidence) and the theoretical  
192 claims being tested. But the distinction is always local. And, indeed,  
193 the presence of entities once postulated by disputed theories may later  
194 be taken as evidence for other theories, as the presence or absence of  
195 various gas molecules in the air now serves as evidence for or against  
196 various theories of global warming.

197 To conclude this section, I am not maintaining that introducing  
198 notions of distributed cognition is the only way to raise new questions  
199 about the distinction between observation and theory, only that it is  
200 one way of introducing new problems into old debates over scientific  
201 realism.<sup>2</sup>



## 3. LITERAL TRUTH

203 For van Fraassen's scientific realist, the aim of science is to construct  
 204 theories that provide "a literally true story of what the world is like."  
 205 He is surely justified in characterizing scientific realism in terms of  
 206 truth. Virtually every characterization of scientific realism I have ever  
 207 seen has been framed in terms of truth. The old problems of scientific  
 208 realism typically arose from trying to show why it is justifiable (or  
 209 not) to take the aim of science to produce theoretical claims that are  
 210 true. In talking about "literal truth," van Fraassen adds a new twist,  
 211 but it is not at all clear what "literal truth" encompasses. Does it  
 212 mean that theoretical claims are exactly true, leaving no room for  
 213 error? Does it mean that theoretical claims are *complete*, that they  
 214 encompass the "whole truth and nothing but the truth"? Here we  
 215 have some newer problems of scientific realism.

216 Hilary Putnam combined these two ideas in his characterization of  
 217 "metaphysical realism" as the view that there is one exact and  
 218 complete true theory of the world. He argued that it is logically  
 219 impossible that there should be such a theory, so that metaphysical  
 220 realism is necessarily false. I will not attempt to summarize the  
 221 ensuing literature on what has come to be known as "Putnam's set-  
 222 theoretical argument".<sup>3</sup> Here I want to present an argument that may  
 223 be new to the literature on scientific realism (though, given its  
 224 obvious Hegelian overtones, it cannot be new in general).

225 The argument is that the question of whether any theoretical claim  
 226 could be exactly true is connected to the question whether any theory  
 227 could be complete in the sense that it encompasses the whole truth  
 228 about everything. My conclusion is that the only way any particular  
 229 claim could be exactly true is if it part of a complete theory that is  
 230 exactly true in every respect. The assumption that connects exactness  
 231 with completeness is that everything is causally connected with  
 232 everything else by some more or less remote chain of causation. In  
 233 more scientific terms, this is the assumption that there are no totally  
 234 closed systems. So, suppose we have a theory that is not complete.  
 235 Whatever the subject matter of this theory, there will be some (maybe  
 236 remote) connections between this subject matter and other things in  
 237 the universe not part of the subject matter of this incomplete theory.  
 238 So, since there will be some influences on the subject matter not  
 239 accounted for by our incomplete theory, it cannot be exactly correct.  
 240 Thus, only a complete theory could generate claims that are exactly  
 241 true.

242 This simple statement of the argument invokes what seems like a  
243 metaphysical assumption of connectedness in the universe. The  
244 argument can be made less metaphysical by assuming only that we do  
245 not *know* the extent of connectedness in the universe. Thus we do not  
246 know whether or not any of our theoretical claims are exactly true. I  
247 am happy with this more modest conclusion.

248 Turning to inexactness itself, a number of philosophers of science  
249 have for several decades been arguing that theoretical claims are  
250 typically *inexact* (Scriven 1961; Cartwright 1983, 1989, 1999; Giere  
251 1988, 1999a). One way of expressing this idea is to say that theoretical  
252 claims are not strictly true but only *approximately true*. This stance  
253 raises the problem of saying just what it means for a claim to be  
254 approximately true.<sup>4</sup>

255 There have been several valiant efforts to solve this newer problem  
256 of scientific realism within a framework of standard logic, set theory,  
257 or model theory.<sup>5</sup> I won't attempt to go into details here because I  
258 think there are several general reasons for thinking that no such  
259 general attempt can really solve the problem of accounting for the-  
260 oretical claims that are both realistic and inexact.

261 One general reason is that a solution at the level of formal  
262 semantics, of whatever variety, is just too abstract to account for the  
263 varieties of inexactness found in the sciences. Probably the easiest  
264 case of inexactness is that concerning the value of a so-called physical  
265 constant, such as the velocity of light or Plank's constant. Yet it is a  
266 long way from formal semantics to a quantitative theory utilizing real  
267 numbered variables. Moreover, since the "true" value of these con-  
268 stants is unknown, it is impossible to measure the "distance" from the  
269 theoretical value, or any measured value, to the true value. Worse yet,  
270 there is no uniquely correct measure of distance. Linear difference  
271 seems the most natural measure, but it is difficult to justify over, say,  
272 a logarithmic measure. Things are even more difficult if we move to  
273 sciences where differences are qualitative or structural. What sort of  
274 general measure could one use to determine even the difference  
275 between two rival proposed structures of a biologically active mole-  
276 cule, let alone the difference between a proposed structure and the  
277 unknown true structure?

278 My own solution to this newer problem of scientific realism  
279 involves a view which I share with Nancy Cartwright (1999) and Paul  
280 Teller (2001) as well as van Fraassen. This is that the primary  
281 representational media for theoretical claims are models. Models  
282 range from actual physical objects, such as Watson and Crick's  
283 original metal and cardboard models of DNA, through diagrams,



284 such as Feynman Diagrams, to abstract entities, such as an ideal gas.<sup>6</sup>  
 285 Returning to our earlier example, I suppose that any scientists per-  
 286 forming such an experiment would have constructed for themselves  
 287 an abstract version of the experiment. The abstract version, for  
 288 example, might not specify the length of the tube, the amount of  
 289 mercury in the beaker, or the temperature of the whole apparatus,  
 290 except that it be roughly the same in all parts.

291 I continue to think that the most one can say in general about the  
 292 representational relationship is that we desire that the model be  
 293 similar to the real world situation in those respects corresponding to  
 294 specified features of the model and, for each respect, to a degree  
 295 desired by the relevant scientists given their scientific/historical  
 296 position relative to the subject matter. Commentators have criticized  
 297 this characterization as “hopelessly vague” and some have insisted  
 298 that that without an “objective” measure of similarity, the view is  
 299 excessively relativistic (Chakravartty 2001). My view is that there is  
 300 no such thing as an objective measure of similarity that is completely  
 301 general, but this does not matter because no such measure is needed.  
 302 Once the experimental set-up and the model have been specified, the  
 303 context provides whatever measures of similarity are required. In our  
 304 simple example, the experiment has a positive result so long as the  
 305 mercury rises in the tube by an amount clearly distinguishable from  
 306 surface effects.

307 Here simple human perceptual abilities are all that are required to  
 308 judge the required similarity. How much this result supports claims  
 309 about theoretical similarities, such as there being invisible particles of  
 310 air bouncing around inside the tube, is another question.

311 Van Fraassen invokes the notion of *isomorphism* when charac-  
 312 terizing empirical adequacy for a theory understood as a set of  
 313 models. The theory is empirically adequate just in case the empirical  
 314 substructure of one of its models is isomorphic with all actual  
 315 observations (past and future). Isomorphism is a notion that has its  
 316 home in the realm of abstractions; sets, numbers, and the like. Just  
 317 what it means outside this realm is not at all clear. Are the four legs of  
 318 my chair isomorphic with the four legs of my dog? One can easily  
 319 establish a one to one correspondence. But then there are insignificant  
 320 isomorphisms all over the place. And what about qualitative results  
 321 such as the rising of the mercury in the partially evacuated tube?  
 322 What could it mean to say that the rising of the mercury in the actual  
 323 tube is isomorphic with the rising of the corresponding element in the  
 324 abstract model of the experiment? This is not clear even if we imagine  
 325 the comparison not to be between a model and the world itself, but

326 between a theoretical model and a model of the experiment that  
 327 corresponds to part of the empirical substructure of the theoretical  
 328 model. In fact, neither model specifies just how high the mercury rises  
 329 in the tube, so a numerical comparison is not even possible. In sum,  
 330 invoking the notion of isomorphism when specifying relationships  
 331 between models and the world seems to be a case of misplaced pre-  
 332 cision. The notion of similarity has just the flexibility required.

333 The really important feature of similarity, however, is that it  
 334 provides an alternative statement of the aim of scientific theorizing  
 335 for a scientific realist. The aim of science is not “a literally true story  
 336 of what the world is like,” but merely the production of models  
 337 similar to limited aspects of the world in ways determined by the  
 338 scientific context. Some of these aspects may be of the type tradi-  
 339 tionally designated as “theoretical,” such as the atomic structure of  
 340 the air. But there is no special problem of inexactness in science.  
 341 Inexactness is built into the enterprise from the start in the nature of  
 342 its representational practices.

#### 4. CAUSES AND CAPACITIES

344 In addition to an array of models, some sciences can boast of general  
 345 theoretical principles. These have historically been most prominent in  
 346 physics: Newton’s Principles of Mechanics (three laws of motion plus  
 347 the gravitational law), Maxwell’s Principles of Electrodynamics,  
 348 Einstein’s Principle of Relativity, the Principles of Quantum  
 349 Mechanics. But biology also has its Principle of Natural Selection,  
 350 and Economics has various equilibrium principles. A potentially new  
 351 problem for scientific realism is whether, or in what way, such prin-  
 352 ciples can be understood realistically.

353 This problem has been brought to the fore primarily by the writ-  
 354 ings of Nancy Cartwright. Cartwright first argues that these princi-  
 355 ples cannot be understood as straightforward universal  
 356 generalizations over existing entities. If they are understood as gen-  
 357 eralizations over existing entities, she argues, they cannot be both  
 358 universal and true. Instead, she concludes, these principles describe  
 359 the real *capacities* of things. Thus, for example, Newton’s Principle of  
 360 Gravitation is to be understood as stating that any body has the  
 361 capacity to attract another body with a force proportional to the  
 362 inverse square of the distance between them. Whether this capacity is  
 363 realized in any particular instance depends on the specific circum-  
 364 stances of the two bodies in question. If both bodies are positively



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365 charged, for example, the net result may be that they repel rather than  
 366 attract one another. Cartwright argues that positing the existence of  
 367 such capacities is the best way to understand the actual practice of  
 368 classical physics (and science in general). A new problem of scientific  
 369 realism is whether this so.

370 I agree with Cartwright that Principles do not directly state  
 371 empirical claims. For empirical claims one needs appropriate models.  
 372 But I do not think it is necessary to introduce capacities as real  
 373 powers operating in nature. It is enough to posit a robust sense of  
 374 singular causation, which she also does. One can then understand  
 375 Principles as defining very general abstract models of aspects of the  
 376 causal structure of the world. By themselves they do not specify the  
 377 behavior of anything in particular. They cannot be used to make  
 378 predictions about anything that might be detected. Only when, as  
 379 Cartwright says, they are fitted out to apply to specific kinds of sit-  
 380 uations can they be used to make empirical claims. My new problem  
 381 for realism, then, is whether positing singular causal agency is  
 382 enough.

383 The idea that the world itself has a definite causal structure that  
 384 might be partially captured by physical principles may still strike  
 385 many as excessively metaphysical. In fact, so strong a metaphysical  
 386 claim is not necessary for scientific practice. All that is needed is a  
 387 *methodological* commitment to proceed as if this were so. This  
 388 commitment is amply justified by the continued success of science in  
 389 devising principles that yield models that are judged through  
 390 empirical tests to fit very closely the actual behavior of real systems.  
 391 Science, unlike, for example, religion, can eschew metaphysics and get  
 392 by quite nicely with sound methodology and empirically justified  
 393 theory.<sup>7</sup>

##### 5. ACCEPTING THEORETICAL MODELS

395 The above discussion of the first two of van Fraassen's presupposi-  
 396 tions has transformed the third. The transformed question might be  
 397 stated as whether it can be justifiable to believe or to accept simi-  
 398 larities between a model and the world that involve features of the  
 399 model that go beyond those connected more or less directly to the  
 400 output of our measuring instruments. Before discussing this question,  
 401 however, I would like to introduce a new example, one from con-  
 402 temporary astrophysics, an undeniable case of successful scientific  
 403 practice.



404 During the 1990s, the astrophysical community received much new  
 405 data from the second (after the Hubble Telescope) of NASA's major  
 406 orbital laboratories, the Compton Gamma Ray Observatory  
 407 (CGRO). Among four different instruments aboard, CGRO was the  
 408 imaging Compton Telescope (COMPTEL) which was efficient in  
 409 detecting gamma-rays in the 1 to 30 MeV range.<sup>8</sup> In one series of  
 410 observations over a period of 5 years, data from COMPTEL indi-  
 411 cated a high flux of gamma-rays measured at 1.8 MeV ( $\pm 5-10\%$ )  
 412 coming from the center of the Milky Way Galaxy where it is assumed  
 413 new stars are being formed. This data was taken as confirming long-  
 414 held theories of star formation. In standard models of star formation,  
 415 heavy isotopes like Aluminum 26 are produced in the cores of very  
 416 massive stars such as those existing in the center of galaxies. Al 26 is  
 417 known to decay into Magnesium 26 producing gamma-rays with the  
 418 energy of 1.8 MeV.

419 Perhaps the major old problem of scientific realism has been how  
 420 to *justify* inferences like those from the output of COMPTEL to  
 421 conclusions such as their being sources of 1.8 MeV gamma-rays at  
 422 the center of the Milky Way and, further, that these gamma-rays are  
 423 due to the decay of Al 26, which, moreover, is being produced in a  
 424 process of star formation. However, the idea that such conclusions  
 425 are in need of *philosophical* justification seems to me mistaken. There  
 426 is no genuine need of a philosophical justification. These conclusions  
 427 are prime examples of justified scientific claims. The new problem for  
 428 scientific realists is simply to understand how these conclusions have  
 429 come to be justified. This is less a *normative* problem than a  
 430 *descriptive* problem.

431 Within the philosophy of science, a major research program has  
 432 been to understand such inferences in terms of a probabilistic logic of  
 433 belief.<sup>9</sup> This program, however, creates problems for anyone wanting  
 434 to understand how scientists could come to believe that current  
 435 models of star formation are even approximately correct.

436 We can suppose that there is a quasi-deductive path from models  
 437 of star formation, through models of radioactive decay, through  
 438 models of the COMPTEL telescope, and, finally, to a model of the  
 439 output produced by COMPTEL. I say "quasi-deductive" because  
 440 these calculations involve many instances of idealization and  
 441 approximation. Still, this is good enough to be able to say, roughly,  
 442 that the models of the output of the COMPTEL system correspond  
 443 to van Fraassen's empirical substructures of the whole hierarchy of  
 444 models including the overarching model of star formation. So there is  
 445 a quasi inclusion relationship from models of the COMPTEL output

446 to models of star formation. Now it is part of the probabilistic logic  
 447 of belief that probability decreases with increasing content. So, given  
 448 the observed output, that output has probability one. But given the  
 449 observed output, that there are sources of 1.8 MeV gamma-rays at  
 450 the center of the Milky Way has probability less than one, and still  
 451 less is the probability that these gamma-rays are being produced by  
 452 decaying Al 26, which, however, is greater than the probability that  
 453 the Al 26 is being produced in star formation. Thus, assuming that  
 454 the more probable belief is the more justifiable, the fully realistic  
 455 belief in the correctness of models of star formation will be the least  
 456 justifiable belief in the hierarchy of beliefs one might have in this  
 457 context.<sup>10</sup>

458 In terms of van Fraassen's distinction between belief and accep-  
 459 tance, I would suggest that the search for a logic of scientific belief is  
 460 mistaken. What is needed is an account of acceptance. One of many  
 461 reasons for making this switch is that the concept of belief is strongly  
 462 connected to individual psychology. And, psychologically, beliefs  
 463 often seem not under voluntary control. One just acquires them. Of  
 464 course, individual scientists have beliefs like everyone else, but sci-  
 465 entific claims are supposed to be publicly warranted. For this,  
 466 acceptability seems a more appropriate notion. Moreover, if there is  
 467 anything like a logic of acceptance, it may well look more like deci-  
 468 sion theory than like probability theory itself. In the framework of  
 469 this paper, then, accepting a scientific claim would be deciding to  
 470 regard a model as being similar to a given real system in various  
 471 respects and degrees. The decision could be made by an individual,  
 472 but it makes equally good sense to regard it as the collective action of  
 473 a scientific community.

474 For a schematic example of such a scientific decision, imagine an  
 475 experiment designed to test which of two different models better fits  
 476 the given experimental situation. Suppose the outcome of the  
 477 experiment is a reading along a linear scale. What is needed is a clear  
 478 difference in the predicted outcome depending on which of the  
 479 two models best fits the situation. More formally, we want there  
 480 to be two regions of the outcome space,  $R_1$  and  $R_2$ , such that  
 481  $P(R_1/M_1) = \text{High}$  while  $P(R_1/M_2) = \text{Low}$  and, conversely, that  
 482  $P(R_2/M_1) = \text{Low}$  while  $P(R_2/M_2) = \text{High}$ . Here  $R$  means that the  
 483 outcome is in region  $R$  of the outcome space, and  $M$  means that  
 484 model  $M$  provides a good fit to the real situation. The obvious  
 485 decision rule is to accept  $M_1$  as being the better fitting model if the  
 486 outcome of the experiment is in the region  $R_1$  and accept  $M_2$  as the  
 487 better fitting model if the outcome is in the region  $R_2$ . Assuming this

488 rule, then, whichever model is in fact the better fitting, there is a high  
489 probably of accepting that model as indeed better fitting and a low  
490 probability of accepting the less well fitting model as being better  
491 fitting. In standard epistemological terms, this is a description of a  
492 reliable procedure.

493 Note, first, that this account of acceptance does not presume that  
494 scientists assign probabilities to claims about how well models fit the  
495 world. All the probabilities involved are *physical* probabilities of the  
496 kind scientists are used to employing. They are the probabilities of  
497 specified physical results assuming physical characteristics of the  
498 subject matter, the experimental set-up, and the instrumentation. Of  
499 course scientists can be more or less confident in their judgments as to  
500 which model to accept as better fitting. On this account, that confi-  
501 dence is not measured as a probability. It would no doubt depend in  
502 some way on how high the probability of conforming outcomes and  
503 how low the probability of misleading outcomes. It might also de-  
504 pend partly on a second order judgment as to how reliable are the  
505 calculations of the probabilities of various outcomes, and presumably  
506 on many other things as well.

507 Note, second, that the acceptance of a model as similar to the real  
508 system is acceptance of the whole model. No distinction is made  
509 between observable and non-observable aspects of any models. So,  
510 on this account, acceptance can be fully realistic as it typically seems  
511 to be in actual scientific practice. This full acceptance, however,  
512 raises a further problem for scientific realism which, while not alto-  
513 gether new, has not been nearly as much discussed as other problems.  
514 The problem is that often not all aspects of models are regarded as  
515 even candidates for representing aspects of the real world. A classical  
516 example occurs in electrodynamics, where the equations defining the  
517 models yield solutions in which a wave comes in from infinity rather  
518 than radiating out from a local source toward infinity. Nobody  
519 thinks these waves coming in from infinity are real. They are  
520 regarded as an artifact of the mathematics. One way of stating the  
521 problem is this: Is there a principled way of distinguishing those  
522 aspects of models that are potentially representational from those  
523 that are not?

524 My solution to this problem takes the same form as van Fraassen's  
525 empiricism, but is much more liberal, pushing the realm of what is  
526 acceptable in a model far beyond what van Fraassen would deem  
527 believable. My criterion is this: For an aspect of a model to be  
528 regarded as potentially representational, it must be possible empiri-  
529 cally to detect *differences* in that aspect. Here detection can be by



530 means of a quite remote causal chain involving intricate instrumen-  
 531 tation, just so long as there is some reliable causal connection.  
 532 Though liberal, this criterion is not vacuous.

533 To take a very contemporary example, some cosmologists now  
 534 argue that our universe is in fact a “multiverse.” That is, ours is but  
 535 one of perhaps infinitely many whole universes.<sup>11</sup> Some see this  
 536 conclusion as a direct implication of current cosmological models  
 537 while others argue only that it is compatible with, or “suggested by”  
 538 current models. Either way, if there is even just one more universe, it  
 539 is, according to these same models, currently outside our light cone,  
 540 so inaccessible by any causal interaction. There is no way to detect  
 541 the existence of such an additional universe. By my criterion, there  
 542 can be no empirical basis for accepting any claims about the actual  
 543 existence of such logically possible universes. So the problem for  
 544 scientific realism is whether the acceptance of such models can be  
 545 legitimated by appeal to what are sometimes called “superempirical  
 546 virtues” such as simplicity or explanatory coherence (Churchland  
 547 1985). My personal inclination is to reject such appeals as scientifi-  
 548 cally illegitimate, but this raises my final newer problem for scientific  
 549 realism.

550 This last problem is a meta-problem, or perhaps a “meta-meth-  
 551 odological” problem. On what grounds could one insist on anything  
 552 like my criterion of empirical testability and thus question the  
 553 acceptability of claims like those about multiverses made by  
 554 respectable members of the scientific community? If one adopts a  
 555 naturalistic stance, as I do, there can be no appeal to *a priori*  
 556 principles or analyses of the meaning of evidence. One can only  
 557 look to scientific practice, including the historical development of  
 558 that practice. Historically, empirical testability has been a promi-  
 559 nent feature of scientific methodology. On most accounts, careful  
 560 observation of the motions of the planets played a major role in the  
 561 Copernican Revolution, leading to the acceptance of Copernican  
 562 models over all others. In the nineteenth century, careful optical  
 563 experiments led to the acceptance of wave over particle models of  
 564 the propagation of light. In the twentieth century, experiments  
 565 played a major role in the acceptance of quantum theory and have  
 566 produced severe constraints on any possible deterministic models of  
 567 micro-phenomena.

568 But are empirical constraints enough? Advocates of multiverses  
 569 respect the constraints provided by accepted experimental and  
 570 observational results. They simply want to extend their models in  
 571 ways that no further experiments or observations can touch. Which is



572 not to say that they recognize no constraints whatsoever on their  
 573 further theorizing, just not empirical constraints. Might this not be a  
 574 case in which advances in theory inspire advances in methodology?  
 575 So, rather than unjustified claims we have methodological progress. I  
 576 am still inclined to insist on empirical testability for accepting realistic  
 577 claims, but I must admit that vindicating this insistence is a large  
 578 remaining problem for scientific realism.<sup>12</sup>

## NOTES

<sup>1</sup> Actually, van Fraassen does allow the possibility that we could admit aliens from outer space with a different sensibility into our epistemic community (Churchland and Hooker 1985, 256–258). But, as he insists, this possibility does not now turn anything not observable by existing humans into observable things.

<sup>2</sup> For a general discussion of distributed cognition in science, see Giere (2002). More specific questions about the role of human agency in distributed cognitive systems are taken up in Giere (2003).

<sup>3</sup> Putnam (1978). A good summary of the argument and attempts to meet it appears in a book by the cognitive linguist, George Lakoff (1987).

<sup>4</sup> The most infamous attempt along these lines was Popper's notion of verisimilitude. I take it that Popper's approach has now been thoroughly discredited, so will say no more about it.

<sup>5</sup> Among those attempting to explicate a notion of approximate truth within a standard logical framework are Ninniluotto (1987) and Kuipers (2000). Da Costa and French (2003) present a more recent model theoretic attempt.

<sup>6</sup> For an elaboration on the varieties of models, see (Giere 1999b).

<sup>7</sup> This point is argued in Giere (Forthcoming).

<sup>8</sup> I say "was" because CCRO was deliberately deorbited in 2000 at the end of its useful life.

<sup>9</sup> Howson and Urbach (1989). In fact, many of those pursuing the Bayesian program think of it as providing a justification for scientific claims rather than merely a description of how such claims come to be regarded as scientifically justified. Here I am taking it to be descriptive only.

<sup>10</sup> This creates a special problem for van Fraassen since belief in the empirical adequacy of the hierarchy of models includes beliefs about what might be recorded by other instruments aimed at the center of the Milky Way. There is nothing in the logic of the situation that would make the conjunction of all such beliefs more probable than the single theoretical claim that there are sources of 1.8 MeV gamma rays in the center of the Milky Way. So, within this probabilistic methodology, there seems no possible in principle argument that belief in the overall empirical adequacy of the models is legitimate while belief in a single theoretical claim is not?

<sup>11</sup> For a popular exposition of this theme see Tegmark (2003).

<sup>12</sup> For a sensitive discussion of these sorts of issues, see the final chapter of Leplin (1997).



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